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## TRANSMITTAL FORM

(to be used for all correspondence during pendency of filed application)

		Application Number	09/426,567
		Filing Date	October 22, 1999
		First Named Inventor	David Banks
		Group Art Unit Number	2664
		Examiner Name	Huyen Le
Total Number of Pages in This Submission	6**	Attorney Docket Number	19923-03676

### ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Issue Fee Transmittal
<input type="checkbox"/> <input type="checkbox"/> Check Enclosed	<input type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Return Receipt Postcard	<input type="checkbox"/> Formal Drawing(s):
<input type="checkbox"/> Response to Notice to File Missing Parts	<input type="checkbox"/> [ ] Sheet(s) of Figure(s) [ ]
<input type="checkbox"/> Assignment & Recordation Cover Sheet	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
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<input type="checkbox"/> Information Disclosure Statement & PTO-1449	<input checked="" type="checkbox"/> Statement Accompanying Non-Patent References Resubmitted With Information Disclosure Statement
<input type="checkbox"/> <input type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> Copy of Information Disclosure Statement (4/18/00)
<input type="checkbox"/> Request for Corrected Filing Receipt	<input checked="" type="checkbox"/> Copy of Form PTO-1449 (4/18/00)
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input checked="" type="checkbox"/> Copies of cited non-patent references L through P
<input type="checkbox"/> Amendment/Response: [ ] Page(s)	<input type="checkbox"/>
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<input type="checkbox"/> Revocation and Substitute Power of Attorney	<input type="checkbox"/>

REMARKS: \*\* "Total Number of Pages in this Submission" does not include copies of cited non-patent references L through P.

### SIGNATURE OF ATTORNEY OR AGENT

Signature:	Martin S.C. Loui
Attorney/Reg. No.:	Martin S. C. Loui, Reg. No. 43,411
Dated:	AUGUST 7, 2001

### CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:	Martin S.C. Loui
Typed or Printed Name:	Martin S. C. Loui
Express Mail Mailing Number (optional):	



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*S  
a/s  
8/23*

IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT(S): David Banks, et al.  
SERIAL NO.: 09/426,567  
FILING DATE: October 22, 1999  
TITLE: A Method and System for Creating and  
Implementing Zones Within a Fibre Channel System  
EXAMINER: not yet known  
GROUP ART UNIT: 2731  
ATTY. DKT. NO.: 3676 US

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: April 18, 2000

By: Renée M. DuBord  
Renée M. DuBord, Reg. No.: 42,500

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, DC. 20231

**INFORMATION DISCLOSURE STATEMENT**  
**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. A copy is enclosed herewith of each listed reference which may be material to the examination of this application, and with respect to which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No. [SERIAL NUMBER], filed on [FILING DATE], and the

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references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).

The Information Disclosure Statement submitted herewith is being filed:

- within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first official action on the merits, whichever event last occurred;  
OR
- after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either:
  - (1) a final action under 37 CFR §1.113; OR
  - (2) a notice of allowance under 37 CFR §1.311; AND
  - attached hereto is the fee of \$240, as set forth under 37 CFR §1.17(p), for submission of this Information Disclosure Statement under 37 CFR.§ 1.97(c); OR
  - Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement.
- OR
- before the payment of the issue fee but after the mailing date of the first to occur of either:
  - [1] a final action under 37 CFR § 1.113; OR
  - [2] a notice of allowance under 37 CFR § 1.311; AND
  - in accordance with the requirements of 37 CFR § 1.97(d);

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- Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
  - each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
  - no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this certification after making reasonable inquiry, was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND
- Applicant hereby respectfully petitions for the consideration of the accompanying Information Disclosure Statement under 37 CFR § 1.97(d)(2); AND
- Applicant submits the petition fee of \$130 as set forth in 37 CFR § 1.17(i).

Applicant submits that no fee is required for the consideration of the accompanying Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,

Dated: April 18, 2000

By: *Renée DuBord*  
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PATENT RECEIVED  
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IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

APPLICANT(S):

David Banks, Kumar Malavalli, Paul Ramsay, Kha Sin Teow  
and Jieming Zhu

SERIAL NO.:

09/426,567

FILING DATE:

October 22, 1999

TITLE:

A Method and System for Creating and Implementing Zones  
Within a Fibre Channel System

EXAMINER:

Huyen Le

GROUP ART UNIT:

2731

ATTY. DKT. NO.:

3676 US

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Attention Examiner Huyen Le, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: AUGUST 7, 2001

By: Martin SC Loui

Martin S. C. Loui, Reg. No.: 43,411

COMMISSIONER FOR PATENTS  
WASHINGTON, DC. 20231

ATTENTION: Examiner Huyen Le

STATEMENT ACCOMPANYING NON-PATENT REFERENCES RESUBMITTED  
WITH INFORMATION DISCLOSURE STATEMENT

SIR:

On April 18, 2000, an Information Disclosure Statement, a Form PTO-1449 and cited references A through P were submitted to the U.S. Patent and Trademark Office. True copies of the Information Disclosure Statement and Form PTO-1449, as previously filed, are attached as Exhibit A. Pursuant to the Examiner's request made via teleconference with the undersigned on August 7, 2001, Applicants enclose copies of cited non-patent references L through P.

Respectfully submitted,  
DAVID BANKS, et al.

Dated: AUGUST 7, 2001

By: Martin SC Loui

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